

## UNITED STATES PATENT AND TRADEMARK OFFICE

A

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

	, , , , , , , , , , , , , , , , , , ,	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
APPLICATION NO.	FILING DATE		RSW9-99-084	5106
09/415,645	10/12/1999	CARL BINDING		
-	90 12/03/2003		EXAM	INER
7350			CALLAHAN, PAUL E	
A BRUCE CI IBM CORPOR	ATION DEPT T81 062		ART UNIT	PAPER NUMBER
3039 CORNW	ALLIS ROAD RIANGLE PARK, NC	27709	2134	
RESEARCH 1			DATE MAILED: 12/03/200	3

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
,	09/415,645	BINDING ET AL.
Office Action Summary	Examiner	Art Unit
	Paul E. Callahan	2134
The MAILING DATE of this communica	ation appears on the cover sheet	with the correspondence address
ania difor Donly		•
A SHORTENED STATUTORY PERIOD FOI THE MAILING DATE OF THIS COMMUNIC.  - Extensions of time may be available under the provisions of after SIX (6) MONTHS from the mailing date of this commun- if the period for reply specified above is less than thirty (30).  If NO period for reply is specified above, the maximum statu.  - Failure to reply within the set or extended period for reply within the set or extended period f	ATTOM.  37 CFR 1.136(a). In no event, however, may lication.  days, a reply within the statutory minimum of the tory period will apply and will expire SIX (6) M	a reply be timely filed  thirty (30) days will be considered timely.  ONTHS from the mailing date of this communication.
Status  1) Responsive to communication(s) filed	on 12 October 1999.	
2b	)⊠ This action is non-final.	
2a) ☐ This action is FINAL.  3) ☐ Since this application is in condition for closed in accordance with the practice.	- allowence except for formal m	natters, prosecution as to the merits is C.D. 11, 453 O.G. 213.
Disposition of Claims		
4)  Claim(s) <u>1-64</u> is/are pending in the ap 4a) Of the above claim(s) is/are 5)  Claim(s) is/are allowed. 6)  Claim(s) <u>1-64</u> is/are rejected. 7)  Claim(s) is/are objected to. 8)  Claim(s) are subject to restrict	e withdrawn from consideration.	
Application Papers		
11)☐ The oath or declaration is objected to	999 is/are: a)⊠ accepted or b)t ction to the drawing(s) be held in ab	wing(s) is objected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. §§ 119 and 120		0.0 5.110(a) (d) or (f)
application from the Internation  * See the attached detailed Office action  13) Acknowledgment is made of a claim since a specific reference was included a comparison of the foreign late.  a) The translation of the foreign late.	documents have been received documents have been received of the priority documents have been large (PCT Rule 17.2(a)). On for a list of the certified copies for domestic priority under 35 U. and in the first sentence of the spenguage provisional application in the second control of th	I. I in Application No Deen received in this National Stage Is not received. S.C. § 119(e) (to a provisional application) Decification or in an Application Data Sheet. The state of
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review  3) Information Disclosure Statement(s) (PTO-1449)	4)	rview Summary (PTO-413) Paper No(s) ice of Informal Patent Application (PTO-152)

'Application/Control Number: 09/415,645

Art Unit: 2134

## **DETAILED ACTION**

1. Claims 1-64 are pending in the instant application and have been examined.

## Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. Claims 1, 14, 28, 42, 51, and 58 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The claims contain the following passages who's meaning is not clear:

"Low overhead connection" The term "overhead" is not defined in the specification or in the language of the claim so as to appraise one of ordinary skill in the art as to the scope of the claim. The term "low overhead" is a relative term which renders the claim indefinite. The term "low overhead" is not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably appraised of the scope of the invention.

"Existing message type" This term is not defined in the specification or in the language of the claim so as to appraise one of ordinary skill in the art as to the scope of the claim. Additionally, the instant any message is created it becomes an "existing" message type. The applicant may wish to change the claim language to "pre-existing" message type.

"piggy-backing" It s not clear what the Applicant intends as "piggy-backing" of one message onto another since many different means of simultaneous transmission of messages exist.

"Computing environment" It isn't clear what is meant by this term.

Claims 2-13, 15-27, 29-41, 43-50, 52-57, and 59-64 are dependent on claims 1, 14, 28, 42, 51, and 58 respectively and are therefore rejected on the same basis as are those claims.

Page 2

"Application/Control Number: 09/415,645

Art Unit: 2134

## Requirement For Information Under 37 CFR 1.105

4. Applicant and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the examiner has determined is reasonably necessary to the examination of this application.

In response to this requirement, please state whether any search of prior art was performed. If a search was performed, please state the citation for each prior art collection searched. If any art retrieved from the search was considered material to demonstrating the knowledge of a person having ordinary skill in the art to the disclosed invention of coupling or "piggy-backing" (insofar as the Examiner was able to construe this term) client-server HTTP messages with key exchange and the exchange of security parameters, please provide the citation for each piece of art considered and a copy of the art.

In response to this requirement, please provide the title, citation and copy of each publication that any of the applicants relied upon to draft the claimed subject matter. For each publication, please provide a concise explanation of the reliance placed on that publication in distinguishing the claimed subject matter from the prior art.

In responding to those requirements that require copies of documents, where the document is a bound text or a single article over 50 pages, the requirement may be met by providing copies of those pages that provide the particular subject matter indicated in the requirement, or where such subject matter is not indicated, the subject matter found in applicant's disclosure.

The applicant is reminded that the reply to this requirement must be made with candor and good faith under 37 CFR 1.56. Where the applicant does not have or cannot readily obtain an item of required information, a statement that the item is unknown or cannot be readily obtained will be accepted as a complete reply to the requirement for that item.

The fee and certification requirements of 37 CFR 1.97 are waived for those documents submitted in reply to this requirement. This waiver extends only to those documents within the scope of this requirement under 37 CFR 1.105 that are included in the applicant's first complete communication responding to this requirement.

\*Application/Control Number: 09/415,645

Art Unit: 2134

Page 3

information disclosures beyond the scope of this requirement under 37 CFR 1.105 are subject to the fee and

Any supplemental replies subsequent to the first communication responding to this requirement and any

certification requirements of 37 CFR 1.97.

Conclusion

5. Any inquiry concerning this communication or earlier communications from the examiner should be

directed to Paul E. Callahan whose telephone number is (703) 305-1336. The examiner can normally be reached

on M-F from 9 to 5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Greg Morse,

can be reached on (703) 308-4789. The fax phone number for the organization where this application or

proceeding is assigned is: (703) 872-9306. Any inquiry of a general nature or relating to the status of this

application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

6. This Office action has an attached requirement for information under 37 CFR 1.105. A complete reply to

this Office action must include a complete reply to the attached requirement for information. The time period for

reply to the attached requirement coincides with the time period for reply to this Office action.

11/23/03

Paul Callahan

SUPERVISORY PATENT EXAMINER

**TECHNOLOGY CENTER 2100**